**TITLE: Invasive ungulates are major drivers of forest composition in avian-free novel ecosystems**

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**Abstract**

Conservation has long focused on preserving or restoring pristine ecosystems. However, understanding and managing novel ecosystems has grown in importance as these systems outnumber pristine ecosystems worldwide. We examined species interactions in the novel ecosystems of the island of Guam, where native vertebrate frugivores are functionally absent, and Rota, a neighboring island that still retains native frugivores. This study focused on the roles of long-established ungulates – Philippine deer (*Rusa mariannae*) and feral pigs (*Sus scrofa*) – on seedling survival, seed dispersal, and plant community structure in limestone karst forests. We found that deer, not pigs, had pronounced negative impacts on forest community characteristics in one of our study systems. Deer negatively impact forests in Guam by decreasing seedling and vine abundance. In contrast, our study suggests that pigs provide an ecosystem service – seed dispersal – that has been functionally lost from Guam. This service may be less desirable in Rota, where native species still perform this function. Our study presents a surprising discrepancy between the roles of two non-native species that are traditionally managed as a single entity, suggesting that ecological function, rather than identity as a native or non-native, may be more important to consider in managing novel systems.

**Introduction**

The extent of human influence is so pervasive that the earth today is comprised mostly of novel ecosystems (Seastedt et al. 2008) – systems with species compositions and relative abundances that have not been previously observed (Hobbs et al. 2009). Species introductions create and maintain novel ecosystems both by adding new species and by removing native ones (Seastedt et al. 2008). Novel ecosystems typically still harbour many native species; however, effective management of these systems is challenging due to the potentially novel ecological roles of the remnant native and introduced species that comprise them.

Although the negative impacts of introduced species are extensive, some may also play beneficial roles (Schlaepfler et al. 2011). Introduced species can be good candidates for restoring severely degraded habitats (Ewel and Putz 2004). For example, in Puerto Rico, planting non-native trees in abandoned pastures where the native plants would not have originally colonized facilitated the return of native plant communities (Lugo 1997). Some introduced species may provide desirable ecological functions such as seed dispersal or food sources for native species (Goodenough 2010). Introduced Japanese white-eyes (*Zosterops japonica*) in Hawaii are seed dispersers for native plants that previously relied on now extinct or rare native birds (Foster and Robinson 2007). Finally, invasive species may slow or reverse negative ecological effects from other anthropogenic impacts. Cascading ecological effects from overfishing in Cape Cod salt marshes are being reversed by green crabs (*Carcinas maenas*), which are normally considered a harmful invasive (Bertness and Coverdale 2013).

The negative effects of introduced deer and pigs have been well documented in ecosystems across the globe. (Courchamp et al. 2003, Allombert et al. 2005, Takatsuki 2009, Murphy et al. 2013). Deer alter forest structure by browsing on seedlings and saplings, and suppressing forest regeneration (Alverson et al. 1988). Introduced deer in New Zealand had effects on understory and forest composition that persisted even after control of deer populations (Coomes et al. 2005). Pigs are known to affect regeneration and recruitment in a number of forest systems. For example, feral pigs have a pronounced effect on regeneration in lowland forests of Malaysia, by direct predation on seeds and by soil-rooting (Ickes et al. 2001). Rooting kills or physically damages seedlings and can alter soil properties (Singer et al*.* 1984, Ickes et al*.* 2001). Similarly, ungulates are thought to have negative effects on plant communities in Guam and the Marianas (Schreiner 1997, Wiles et al. 1999).

The islands of Guam and Rota in the Mariana Archipelago, as with many islands around the world, have had a long history of species introductions (Fritts and Rodda 1998) making them prime examples of novel ecosystems with unique management challenges. The most famous invasive species in Guam is the brown treesnake (*Boiga irregularis*), which was unintentionally introduced on military cargo at the end of World War II (Rodda et al. 1992). It is responsible for the extinction of most of Guam’s native birds between 1945 and 1985 (Savidge 1987, Wiles 2003), functionally leaving the island without native vertebrate nectarivores, frugivores, or insectivores (Fritz and Rodda 1998). The nearby island of Rota has very similar flora and fauna to Guam, lacks the brown treesnake, and has retained limestone karst forests that are relatively more pristine than those on Guam (Falanruw et al. 1989). Deer and pigs have been established for centuries on both islands (Safford 1905, Conry 1989, Wiles et al. 1999). Philippine deer (*Rusa mariannae*) density in Guam has been correlated with reduced seedling recruitment in some species of native trees (Wheeler 1979, Schreiner 1997). Similar to their effects in other islands, feral pigs (*Sus scrofa*) on Guam alter habitats by rooting and wallowing (Conry 1989), which can disrupt forest regeneration. However, these impacts are occurring within unique novel rather than pristine ecosystems, therefore a more thorough examination of the role of each species within the larger ecological context is needed to make appropriate management decisions.

We investigate the ecological role of invasive ungulates in two novel ecosystems. We examine the impact of non-native deer and feral pigs on seedling survival, seed dispersal, and overall plant community composition. We contrast their role in the bird-free forests of Guam to that in the nearby forests of Rota which still maintain avian populations.

**Methods**

*Study Area*

Guam (13.45° N, 144.78° E; 544 km2) is the largest and southernmost island of the Mariana Island Archipelago in the Western Pacific. Rota (14.15° N, 145.21° E; 85 km2) is located 76 km north of Guam and has less than 2% of the population of Guam. Both islands have large areas of native limestone karst forest with similar species compositions. These islands contain a variety of habitats, but karst forests were chosen as the focus of this study because they contain a larger variety of native and endemic tree species relative to other habitats, such as savannah or ravine forest (Fosberg 1960, Raulerson and Rinehart 1991).

*Effect of ungulates on seedling survival*

To assess ungulate effects on seedling mortality, we set up paired plots in karst forest sites in northern Guam and in Rota. Eight sites were selected in Guam and seven sites in Rota. At each site, we erected a 1.8-m tall chicken-wire fence around one plot, and left the adjacent plot unfenced, allowing ungulate access. The fenced and unfenced plots were placed so that individual pairs had similar canopy cover, rockiness, and forest structure. Each seedling plot covered an area of about 3.5 m x 5.5 m.

We selected six species for this experiment encompassing a variety of common native and introduced trees found in forests of the Mariana Islands: *Carica papaya*, *Morinda citrifolia*, *Ochrosia oppositifolia* (synonym *Neisosperma oppositifolia*), *Aglaia mariannensis*, *Premna obtusifolia*, and *Psychotria mariannensis*. For each species we collected seeds from at least five trees and at least four different sites to minimize maternal effects and effects of local adaptation. The date of seed collection and subsequent out-planting was staggered by species due primarily to differences in fruiting phenology. After seeds were collected, fleshy fruit was removed, and they were planted in a mixture of 50% perlite and 50% peat moss, and fertilized using Osmocote®. All seeds were planted on the island from which they were collected. The seed trays were placed under 60% shadecloth at a nursery in Guam and at a nursery in Rota, and allowed to grow in these conditions until they had fully rooted and grown their first true leaves. At this point, the seedlings were transported to the exclosure sites for out-planting.

Seedlings of each species were out-planted in the control and treatment areas at each site on the same day. Seedlings were placed at least 0.3 m apart from each other, and at least 0.5 m away from the fences in fenced treatments. Fourteen seedlings of each species were planted in each treatment at each site, except for *O. oppositifolia*, which had only seven seedlings planted per treatment on Guam and 9 seedlings per treatment on Rota. In addition, 11 seedlings per plot of *Carica papaya* were planted on Rota. The seedlings planted during drier months (*C. papaya, M. citrifolia,* and *O. oppositifolia*) were watered regularly during the first few weeks following transplanting to ensure they successfully established. After transplanting, seedlings were monitored monthly for survival until July 2011 (15 months after the first species was transplanted and four months after the last).

*Germination from faecal samples*

We collected faeces from *R. marianna* and *S. scrofa* from limestone karst forest sites to determine if either ungulate dispersed viable seeds via endozoochory. We collected throughout the year, through both rainy and dry seasons from four different sites in northern Guam. Faecal samples were layered on top of a 50% perlite and 50% peat moss soil mix in germination trays. The trays were kept outdoors at a nursery under shade cloth. *R. marianna* pellets were mixed in at the surface, and *S. scrofa* scat samples were broken up and mixed at the surface. Trays were watered regularly before and after seedlings emerged from faeces. Seedlings were then identified and counted. Because the nursery was open air, species that were known to be wind-dispersed and that germinated in most of the other seedling trays at the nursery were not counted.

*Vegetation transects and scat counts*

We conducted vegetation surveys to gather community composition data about karst forest sites across Guam and Rota. We surveyed vegetation at each site using 100-m by 1-m transects. All plants within the belt transects were identified and recorded, seedlings were identified and counted, and plants were categorized as either adults or seedlings, and as vines, trees, shrubs, or herbs.

To estimate relative ungulate abundance between sites, faecal groups from *S. scrofa* and *R. marianna* were counted along the vegetation transects as well as in a 2-m wide square-shaped belt transect that encompassed the vegetation transects. Although they do not give exact population abundance, counts of faecal groups can be used as an index to compare abundance of ungulates between sites (Engeman et al. 2013). Each transect covered an area of about 800 m2. We used scat as an indicator of ungulate abundance since other sign such as animal tracks are rarely visible in karst forest terrain and the detectability of other sign such as trails and grazing varies widely even in similar habitats (Schreiner 1997).

*Statistical analyses*

Seedling survival was compared for each planted species between fenced and unfenced plots, using generalized linear mixed effects models (lme4 package) in R statistical software (R Core Development Team version 3.1.1). Fencing and island were considered fixed effects, while site was considered a random effect. We analysed each species separately. Factors were considered to have a significant effect on seedling survival if they reduced Akaike Information Criterion, corrected for smaller sample sizes (AICc), scores by more than 2 (Burnham and Anderson 2004) when included in a linear model.

To determine if pigs exhibited selectivity for either native or exotic species, we collected scat from multiple sites on Guam and compared the abundance of species that germinated from scat to their abundances in vegetation surveys from those same sites. We calculated the Manly Selectivity Index (Manly et al*.* 1993) for native and for exotic species

*B = (oi / πi)/ ∑ oj / πj*,

Where *o* is the proportion of seedlings from scat of either native or exotic seedlings amongst all seedlings from scats from each season (wet/dry), and *π* is the proportion of native or exotic adult plants at the site. Because nearly all fern spores are dispersed by wind (Tryon 1970), they were excluded from this analysis. Standard error was calculated by using

*se= √{ oi(1 - oi) / (utotπi)}.*

Where *utot* is the total number sampled (number of faecal groups collected).

We used linear regression to determine whether ungulate scat abundance was correlated with forest characteristics measured on vegetation transects. We used deer abundance and pig abundance as separate independent factors, and forest characteristics as dependent factors. The forest characteristics that we investigated were total seedling abundance, native seedling abundance, exotic seedling abundance, and vine abundance. We also determined r2 and P-values for each regression. To select the best-fit linear models, F-statistics and P-values were also calculated. All tests were performed using R (R Core Development Team version 3.1.1).

**Results**

*Do ungulates affect forest recruitment?*

Seedling survival in seedling plots varied depending on species, island, and fencing treatment. For four species, *C. papaya*, *M. citrifolia*, *P. obtusifolia*, and *P. mariana*, fencing treatment contributed to the best fit model explaining proportion alive (Figure 1). The best fit model for *P. mariana* also had an interaction between island and species: although survival for *P. mariana* was higher inside plots on both islands, the difference in survival was greater in Guam than in Rota. Island was a factor that contributed to the best fit model for *M. citrifolia* in addition to fencing treatment, but with no interaction: overall survival both inside and outside fenced plots was higher in Guam than in Rota. For *A. mariannensis* and *O. oppositifolia*, island and species interacted for the best fit model explaining proportion alive (Figure 1): *A. mariannensis* and *O. oppositifolia* both had better survival inside rather than outside fenced plots in Guam, but better survival outside rather than inside fenced plots in Rota.

*Do ungulates act as seed dispersers?*

We collected and observed germination from a total of 20 deer faecal groups. Only four of the 20 deer faecal groups collected produced seedlings (20%). Eight *C. papaya* seedlings germinated from one pellet group. In addition, one *Passiflora suberosa*, one *Vitex parviflora,* and one *Mikania micrantha* seedling each emerged from separate pellet groups. The *Mikania micrantha* may have been ingested by the deer accidentally, as it is a species with no edible fruit.

A greater abundance and diversity of seedlings emerged from the 31 pig faecal groups that we collected from four different sites. Of these, 25 faecal groups had seedlings (80.6%), with a total of 1657 seedlings successfully germinating. The species that germinated from pig scats were comprised of the native trees *M. citrifolia*, and *Ficus prolixa,* the introduced trees *C. papaya* and *Leucaena leucocephala*,and the introduced vines *Passiflora* spp.*, Chromolaena odorata* and *Coccinia grandis* (Table 4). All of these except for *C. odorata* and *L. leucocephala* have edible, fleshy fruits.

Because very few seedlings emerged from deer faeces, the selectivity analysis was focused only on seedlings from pig faeces. Amongst seedlings emerging from pig faecal groups collected during dry season, Manly’s Selectivity Index indicated a slight selection for non-native species, with a value of 0.62 ± 0.04 for non-native (0.38 ± 0.07 for native) (Figure 2). During rainy season, Manly’s Selectivity Index indicated strong selection for native species, with a value of 0.93 (0.07 for non-native) (Figure 2).

*How does ungulate abundance relate to forest community composition?*

Strong negative loglinear relationships were detected between the following forest characteristics and deer abundance: total seedling abundance (r2 = 0.710, P < 0.001), native seedling abundance (r2 = 0.648, P < 0.001), exotic seedling abundance (r2 = 0.770, P < 0.001), and vine abundance (r2 = 0.751, P <0.001; Figure 3). No correlations were detected between these community characteristics and deer abundance in Rota, and no associations were detected between these characteristics and pig abundance on either island.

**Discussion**

Most conservation goals focus on restoring native species to historical abundances – efforts that have focused on removing invasive species and reintroducing native species have yielded many positive results (Campbell and Donlan 2005, Allwood, et al. 2002). However, removing invasive species could have negative consequences if these species play important ecological roles otherwise missing from the novel system (Zavaleta et al. 2001). Likewise, reintroducing reduced or extirpated native species may prove unsuccessful or detrimental if persistent threats and current conditions are poorly understood (Hobbs et al. 2011, Godefroid et al. 2011). Restoring a functioning ecosystem rather than the original complement of species may be more feasible for highly degraded ecosystems (Hobbs et al. 2011).

We found that a group of invasive species often managed as a single entity – ungulates – differ in their effects at a species level. Based on results from an experiment that excluded both pigs and deer, we conclude that ungulates selectively browse four of the six species tested while avoiding the other two, and as a result, shape forest community diversity. However, deer abundance alone was negatively correlated with overall seedling abundance, including native and exotic seedling abundance, and vine abundance, whereas pigs showed no relationship between abundance and seedling diversity or abundance. This, in combination with the observation that most mortality in the exclosure study appeared to come from browsing rather than rooting, indicates that deer have a greater impact on seedling mortality than do pigs. Our findings are consistent with numerous other studies on the detrimental effects of invasive deer. In addition, deer dispersed very few seeds, while pigs dispersed large numbers of mostly native seeds. Thus, we conclude that, in our study systems, deer and pigs have different ecological functions, and management of these ungulates should consider the extreme detrimental effects that deer have on seedlings and the seed dispersal services that pigs provide.

Since these invasive species are being managed within the context of a highly degraded novel ecosystem, these functional differences suggest that different management strategies should apply to each species. Deer are not replacing a lost ecological function, but instead have a strongly negative impact on forest communities. We anticipate that eradication or control at a very low abundance would prove beneficial. In contrast, pigs provide seed dispersal services, which is a function that has been lost from the forests on the island of Guam (Haldre 20XX) due to the absence of native forest birds and bats. Removing pigs could have detrimental effects to native plant species that have been limited by the lack of dispersers in limestone karst forests, where we did not detect strong negative impacts from pigs. Therefore, we recommend focusing control efforts on deer and strongly discourage landscape-level control of pigs until solutions can be found to address the lack of seed dispersal in Guam’s forests.

We observed unexpected differences between Guam and Rota in community composition results and seedling plot survival. While we observed strong responses from forest community characteristics to deer abundance in Guam, we did not detect similar patterns in Rota. We believe that a combination of small sample size (seven transect sites in Rota compared to 14 transect sites in Guam) and smaller range and maximum value of deer abundances in Rota (deer faecal groups per 100m2 ranged between 0 and 5.7 for Rota and between 0 and 23.2 in Guam) contributed to our inability to detect similar patterns on that island. Results on each island also differed in our seedling plots. While mortality was greater in ungulate-accessible plots for four out of the six species, overall mortality was greater in Rota than in Guam, and island, more so than ungulate access, was important in determining mortality for two out of the six species. This suggests that another factor was contributing to mortality of seedlings in Rota, both inside and outside of ungulate exclosures. Veronicellid slugs are of particular concern in Rota, where they have unusually large aggregations, and where they have already taken a toll on crop species (Badilles et al. 2010, Robinson and Hollingsworth 2004). Indeed, we observed levels of slug infestations at sites Rota that were greater than at sites in Guam, leading us to believe that slug herbivory contributed to interisland differences in seedling mortality. We strongly encourage expanding future studies in Rota to capture more varied abundances of deer, to consider invertebrate herbivores, and to investigate ungulate seed dispersal as was done in Guam.

Invasive species are normally managed with the goal of control or eradication, but in novel ecosystems with multiple species and factors contributing to ecological changes, a blanket approach may not be wise. Feral ungulates are components of novel ecosystems around the world, and although their negative effects are well-documented (Nogueira-Filho et al. 2009, Kotanen 1995), there are a few examples where they play beneficial roles (Desbiez et al. 2011, Klinger et al. 1994) or have unexpectedly insignificant effects on native flora (De Garine-Wichatitsky et al. 2003). Ungulate eradication is an important restoration tool, especially in island environments where ungulates are considered destructive invasive species (Kessler 2002, Spear and Chown 2009). While this may still be the preferred management tool for Guam’s systems, there may be unintended consequences. These include the release of invasive plant species (Cabin et al. 2000, Coomes et al. 2003) or reduced seed-dispersal (O’Connor and Kelly 2012). Natural resource managers would be aided by discerning which non-native species serve important functions, and which species are especially destructive before implementing conservation actions in a novel ecosystem.

**Competing Interests**

We have no competing interests.

**Author’s Contributions**

Ann Marie Gawel helped to design the study, set up the experiments, collect data, analyse and draft the manuscript. Haldre Rogers helped design and coordinate the study, set up experiments, and aided in analysis and drafting the manuscript. Alexander Kerr aided in designing and coordinating the study, reviewing the analysis, and drafting and reviewing the manuscript. Ross Miller aided in designing and coordinating the study and reviewing the manuscript. All authors gave final approval for publication.

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**Figures**

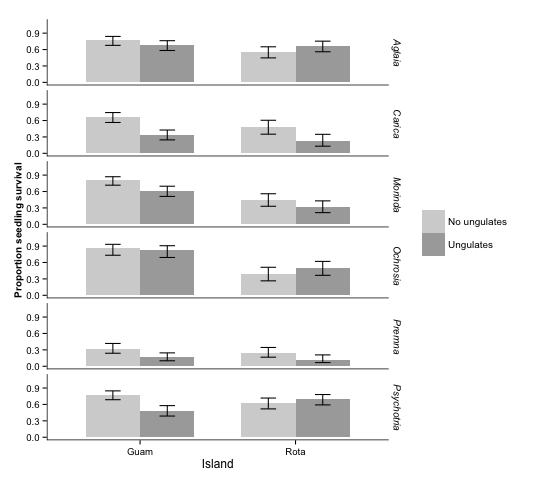


Figure 1. A higher proportion of seedlings remained alive in fenced versus unfenced plots for four out of six forest species, *Carica papaya*, *Morinda citrifolia*, *Psychotria mariana*, and *Premna obtusifolia*. For *Psychotria mariana* and *Ochrosia oppositifolia*, island was included in the best fit model explaining proportion of seedlings alive, but island was unimportant for the remaining species.

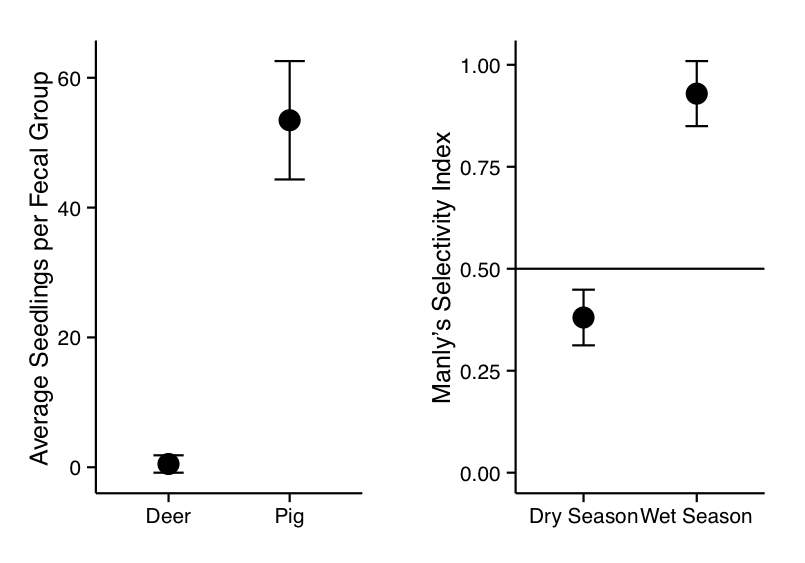


Figure 2. The average number of seedlings that germinated per faecal group was larger from pigs (n= 31 faecal groups with an average of 53.45 ± 9.12 seedlings per faecal group) than from deer (n=20 faecal groups with an average of 0.5 ± 1.34 seedlings per faecal group)(left panel). Manly’s Selectivity Index was calculated for pig faecal groups collected during dry season, and for pig faecal groups collected during wet season. While there was a small difference in selectivity during dry season, showing selection for exotic species, there was a strong selection for native species during rainy season (right panel). Values for this index for exotic species are equivalent to the value for native species subtracted from 1.

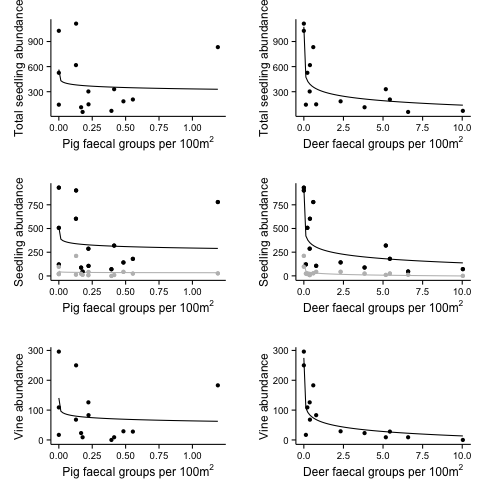


Figure 3. In the left-hand column, regression analysis between abundance of pig faecal groups (relative index for population abundance) showed no relationship with total seedling abundance, exotic nor native seedling abundance (middle row, with black line for native and gray line for exotic), nor vine abundance per survey site in Guam. In the right hand column, abundance of deer faecal groups (relative index for population abundance) show strong negative loglinear relationships to total seedling abundance (r2 = 0.710), native seedling abundance (r2 = 0.647), exotic seedling abundance (r2 = 0.696), and to vine abundance (r2 = 0.751).